

# Enforcing Domain Consistency on the Extended Global Cardinality Constraint is NP-hard

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## 1 Introduction

We consider a set of variables  $X = \{x_1, \dots, x_n\}$  and a set of values  $D$ . Each variable  $x_i$  is associated to a domain  $dom(x_i) \subseteq D$  and each value  $v \in D$  is associated to a cardinality set  $K(v)$ . An assignment satisfies the extended global cardinality constraint (extended-GCC) if each variable  $x_i$  is instantiated to a value in its domain  $dom(x_i)$  and if each value  $v \in D$  is assigned to  $k$  variables for some  $k \in K(v)$ . Extended-GCC differs from normal GCC by its sets of cardinality  $K(v)$  that can be any set of values. In normal GCC, as introduced by Régin [2], these cardinality sets are restricted to intervals.

Enforcing domain consistency consists in verifying for each value  $v$  in a variable domain  $dom(x_i)$  if there is an assignment satisfying the extended-GCC such that  $x_i = v$ . This is equivalent to determining if the extended-GCC is satisfiable when the domain of the variable is bounded to a single value, i.e.  $dom(x_i) = \{v\}$ . We show that determining if the extended-GCC is satisfiable is NP-complete by reduction to the SAT problem and therefore enforcing domain consistency on the extended-GCC is NP-hard.

## 2 Extended-GCC as a Matching in a Graph

As demonstrated by Régin [1], an extended-GCC instance can be represented by a bipartite graph  $G = \langle L \cup R, E \rangle$ . Let the left-nodes of the bipartite graph be  $L = X$  the variables of the problem. Let the right-nodes of the bipartite graph be  $R = D$  the values of the problem. There is an edge  $(x_i, v) \in E$  if and only if  $v \in dom(x_i)$ .

A generalized matching [4]  $M$  is a subset of  $E$  such that all variables  $x_i \in L$  is adjacent to one edge in  $M$  and each node  $v \in R$  is adjacent to  $k$  edges in  $M$  for some  $k \in K(v)$ .

A generalized matching  $M$  represents a solution of the extended-GCC. There is obviously a matching  $M$  if and only if the extended-GCC is satisfiable. In the next section, we show that determining if a generalized matching exists is NP-complete.

### 3 Reduction to the SAT problem

Consider a 3-SAT problem defined by a list of variables  $X = \{X_1, \dots, X_n\}$ , a list of literals  $\mathcal{L} = \{x_i, \neg x_i \mid X_i \in X\}$  and a list of clauses  $C = \{C_1, \dots, C_m\}$  where  $C_i \subseteq \mathcal{L}$  are the set of literals of the clause. We want to assign the value *true* or *false* to the literals in  $\mathcal{L}$  such that all clauses have at least one literal assigned to *true*.

From a SAT problem, we construct the bipartite graph  $G = \langle L \cup R, E \rangle$  as follows. For each literal  $l_j$  in a clause  $C_i$ , we create one left-node  $S(C_i, l_j) \in L$  and one right-node  $d(C_i, l_j) \in R$ . For each clause  $C_i$  we create a left-node  $C_i \in L$  and for each variable  $X_i$  we create another left-node  $X_i \in L$ . Finally, we add to the graph a right-node  $l_i \in R$  for each literal  $l_i$ .

We connect the left-nodes in  $L$  to the right-nodes in  $R$  as follows. We start with an empty set of edges  $E = \emptyset$ . For each clause  $C_i$  and each literal  $l_j \in C_i$ , we add the edges  $(C_i, d(C_i, l_j))$ ,  $(S(C_i, l_j), d(C_i, l_j))$  and  $(S(C_i, l_j), l_j)$ . For each variable  $x_i \in X$  we add the edges  $(X_i, x_i)$  and  $(X_i, \neg x_i)$ . Finally, we set the cardinality of each right-node in  $L$  as follows:  $K(d(C_i, l_j)) = \{0, 1\}$  and  $K(l_i) = \{0, k_i + 1\}$  where  $k_i$  is equal to the number of clauses containing the literal  $l_i$  or more formally  $k_i = |\{C_j \in C \mid l_i \in C_j\}|$ . Figure 1 shows the part of graph  $G$  that is related to variable  $X_i$ .

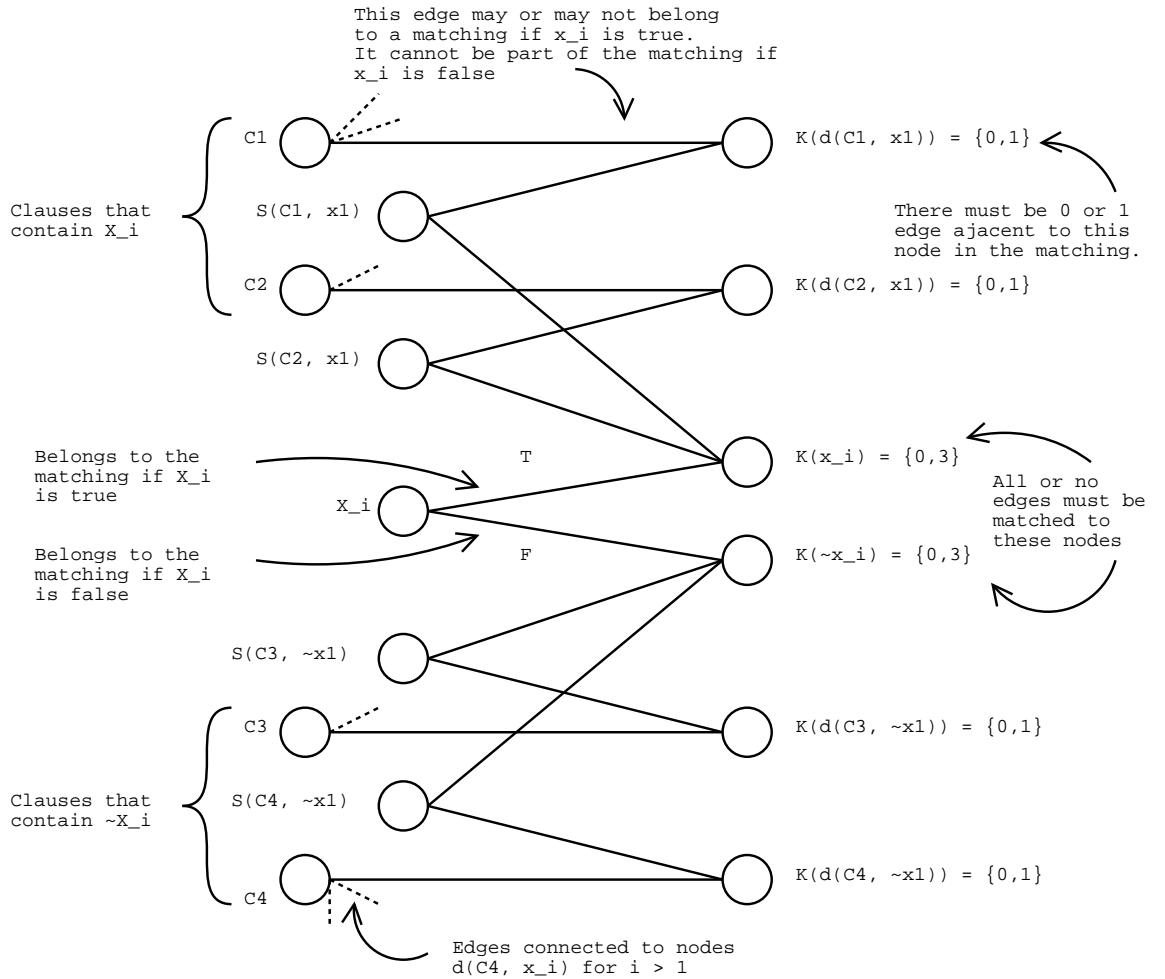
The intuition of the reduction is simple. A generalized matching in  $G$  corresponds to a solution to the SAT problem. If  $(X_i, x_i) \in M$  then  $x_i = \textit{true}$  and if  $(X_i, \neg x_i) \in M$  then  $x_i = \textit{false}$ . All clause nodes  $C_i$  must be matched to another node. They can only be matched with an edge  $(C_i, d(C_i, l_j))$  if  $l_j = \textit{true}$ .

**Lemma 1.** *Let  $l_i \in \{x_i, \neg x_i\}$ , the edge  $(X_i, l_i)$  belongs to  $M$  if and only if  $S(C_j, l_i) \in M$  for all  $C_j$ .*

*Proof.* The nodes  $S(C_j, l_i) \in E$  and the node  $X_i$  are the only nodes connected to node  $l_i$ . Since we have  $K(l_i) = \{0, k_i + 1\}$  and  $k_i + 1$  is equal to the number of nodes connected to  $l_i$ , either all edges adjacent to  $l_i$  belong to  $M$  or no edges adjacent to  $l_i$  belong to  $M$ . Therefore for all nodes  $S(C_j, l_i)$  we have  $(X_i, l_i) \in M \iff S(C_j, l_i) \in M$ .  $\square$

**Lemma 2.** *Let  $l_j \in \{x_j, \neg x_j\}$ . If the edge  $(C_i, d(C_i, l_j))$  belongs to a generalized matching then  $(X_j, l_j)$  also belongs to this generalized matching.*

*Proof.* Suppose the edge  $(C_i, d(C_i, l_j))$  belongs to the generalized matching  $M$ . Since the cardinality of node  $d(C_i, l_j)$  is  $\{0, 1\}$  and edge  $(C_i, d(C_i, l_j))$  is adjacent to this node, no more edges in  $M$  can be adjacent to node  $(C_i, d(C_i, l_j))$ . Therefore the edge  $S(C_i, l_j)$  has no other choice to be matched with node  $l_j$ . By Lemma 1 we obtain that  $(X_j, l_j)$  belongs to  $M$ .  $\square$



**Fig. 1.** Part of graph  $G$  related to variable  $X_i$ .

**Lemma 3.** *SAT is satisfiable if and only if there exists a generalized matching  $M$  in graph  $G$ .*

*Proof.* ( $\Rightarrow$ ) Suppose SAT is satisfiable, we construct a matching by pointing each node  $C_i$  to a node  $d(C_i, l_i)$  such that literal  $l_i$  is true in the SAT solution. Other left-nodes in  $L$  are matched according to Lemma 2 and Lemma 1.

( $\Leftarrow$ ) Consider a generalized matching  $M$ . For all variables  $X_i \in X$ , we have either the edge  $(X_i, x_i)$  or  $(X_i, \neg x_i)$  in  $M$ . We say that literal  $l_i$  is true if the edge  $(X_i, l_i)$  belongs to  $M$  and false if the edge does not belong to  $M$ . For all clause  $C_i$ , we have an edge  $(C_i, d(C_i, l_j))$  in  $M$  for some  $l_j \in \{x_j, \neg x_j\}$ . This implies by Lemma 2 that  $l_j$  is true and therefore clause  $C_i$  is satisfied. Therefore all clauses are satisfied by the variable assignments given by the edges  $(X_i, l_i)$   $\square$

## 4 Conclusion

Lemma 3 shows that determining the satisfiability of extended-GCC is NP-complete and therefore enforcing domain consistency on the extended-GCC is NP-hard.

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## References

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