

# A Persuasion Dialogue Game based on Commitments and Arguments

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**Abstract.** In this paper we propose a new persuasion dialogue game for agent communication. We show how this dialogue game is modeled by a framework based on social commitments and arguments. Called Commitment and Argument Network (CAN), this framework allows us to model communication dynamics in terms of actions that agents apply to commitments and in terms of argumentation relations. This dialogue game is specified by indicating its entry conditions, its dynamics and its exit conditions. In order to solve the problem of the acceptance of arguments, the protocol integrates the concept of agents' trustworthiness in its specification.

## 1 Introduction

Research in agent communication languages and protocols has received much attention during the last years. Protocols describe the allowed communicative acts that agents can perform when conversing. These protocols specify the rules governing a dialogue between agents in multi-agent systems (MAS).

Traditionally, protocols are specified as finite state machines or Petri nets without taking into account the agents' autonomy. Therefore, these protocols are not flexible enough to be used in open MAS [12]. To solve this problem, several researchers proposed protocols using dialogue games (DGs) [8, 9, 12, 13]. DGs are interactions between players, in which each player moves by performing utterances according to a pre-defined set of roles [13].

The protocols described in the literature are often specified by pre/post conditions. These protocols often neglected the decision-making process that allows agents to accept or to refuse an utterance. The protocols based on formal dialectics [2, 14, 22] use the argumentation as a way of expressing decision-making. However, the sole argumentation does not make it possible to solve a decision-making problem. We think that other social elements such as agents' trustworthiness must be taken into account.

The contribution of this paper is the proposition of a formal specification and an implementation of a new persuasion dialogue game for agent communication using a

unified framework based on social commitments and on arguments. Our protocol is presented in the context of this framework called Commitment and Argument Network (CAN) [5, 6]. This protocol is characterized by the fact that it integrates the agents' trustworthiness as a component of the decision-making process.

The rest of this paper is organized as follows. In Section 2 we present our approach based on commitments and arguments. In Section 3 we introduce the CAN formalism. In Section 4 we present the specification of our dialogue game and we highlight the importance of agents' trustworthiness. In Section 5 we present our model of trustworthiness. In Section 6 we describe some issues of the implementation. In Sections 7 and 8 we compare our protocol to related work and we conclude the paper.

## 2 Approach based on Commitments and Arguments

### 2.1 Social Commitment

In the domain of agent communication, it is largely recognized that social commitments are a powerful representation for modeling multi-agent interactions [4, 5, 7, 11, 12, 24]. In opposition to the BDI (beliefs, desires and intentions) approach, the commitment-based approach stresses the importance of conventions and the public and social aspects of dialogue. It is based on social commitments that are thought of as social and deontic notions. As a social notion, commitments are a base for a normative framework that makes it possible to model the agents' behavior. Indeed, considering their deontic nature, these commitments define constraints on this behavior. The agent must behave in accordance to its commitments. For example, by committing towards other agents that a certain fact is true, the agent is compelled not to contradict itself during the conversation. It must also be able to explain, argue, justify and defend itself if another participant contradicts it. A speaker is committed to a statement when he made this statement or when he agreed with this statement made by another participant. In fact, we do not speak here about the expression of a belief, but rather about a particular relationship between a participant and a statement.

A Social commitment  $SC$  is a commitment made by an agent (called the *debtor*), that some fact is true. This commitment is directed to a set of agents (called *creditors*) [7]. In order to model the dynamics of conversations, we interpret a speech act  $SA$  as an *action* performed on a commitment or on its content (we refer to this as "take position on a commitment"). A speech act is an abstract act that an agent, the speaker, performs when producing an utterance  $U$  and addressing it to another agent, the addressee [23]. The actions that an agent can perform on a commitment are:  $Act \in \{Create, Withdraw\}$ . The actions performed on the content of a commitment are:  $Act-content \in \{Accept, Refuse, Challenge\}$ . Thus, a speech act is defined as an action on a commitment when the speaker is the debtor, or as an action on a commitment content when the speaker is the debtor or the creditor. Formally:

**Definition 1.**  $SA(Ag_1, Ag_2, U) =_{def}$   
 $Act(Ag_1, SC(Ag_1, Ag_2, p))$

$/ Act-content(Ag_k, SC(Ag_i, Ag_j, p))$

where  $i, j \in \{1, 2\}$  and  $(k=i \text{ or } k=j)$ ,  $=_{def}$  means “is interpreted by definition as”,  $p$  the commitment content. This definition allows us to model agent interaction using actions that agents perform on commitments and on their contents.

## 2.2 Argumentation and Social Commitments

An argumentation system essentially includes a logical language  $L$ , a definition of the argument concept, a definition of the attack relation between arguments and finally a definition of acceptability. Several definitions were also proposed for the argument concept [18, 27]. In our model, we adopt the following definitions from [10]. Here  $\Gamma$  indicates a possibly inconsistent knowledge base with no deductive closure.  $\vdash$  Stands for classical inference and  $\equiv$  for logical equivalence.

**Definition2.** An argument is a pair  $(H, h)$  where  $h$  is a formula of  $L$  and  $H$  a sub-set of  $\Gamma$  such that : i)  $H$  is consistent, ii)  $H \vdash h$  and iii)  $H$  is minimal, so no subset of  $H$  satisfying both i and ii exists.  $H$  is called the support of the argument and  $h$  its conclusion.

**Definition3.** Let  $(H_1, h_1), (H_2, h_2)$  be two arguments.  $(H_1, h_1)$  attack  $(H_2, h_2)$  iff  $h_1 \equiv \neg h_2$ .

The *defense* relation is defined as a dual relation of *attack*.

Argumentation is based on the construction of arguments and counter-arguments, the comparison of these various arguments and finally the selection of the arguments that are considered to be acceptable. In our approach, agents must reason on their own mental states in order to build arguments in favor of their future commitments, as well as on other agents' commitment in order to be able to take position with respect to the contents of these commitments.

In fact, before committing to some fact  $h$  being true (i.e. before creating a commitment whose content is  $h$ ), the speaker agent must use its argumentation system to build an argument  $(H, h)$ . On the other side, the addressee agent must use its own argumentation system to select the answer it will give (i.e. to decide about the appropriate manipulation of the content of an existing commitment). For example, an agent  $Ag_1$  accepts the commitment content  $h$  proposed by another agent  $Ag_2$  if  $Ag_1$  has an argument for  $h$ . If  $Ag_1$  has an argument neither for  $h$ , nor for  $\neg h$ , then it must ask for an explanation. Thus, we claim that an agent's argument must support an action performed by this agent on a given commitment or on its content. The semantics of our commitment and argument approach is described in [6]. Surely, an argumentation system is essential to help agents to act on commitments and on their contents. However, reasoning on other social attitudes should be taken into account in order to explain the agents' decisions. In our persuasion protocol we highlight the importance of agents' trustworthiness to decide, in some cases, about the acceptance of arguments.

### 3 The CAN Formalism

So far, we presented our framework of commitments and arguments. Thus, agents can participate in conversations by manipulating commitments and by producing arguments. In this section, we show how a conversation can be modeled using the CAN formalism on the basis of this framework. In this paper we use a simplified version of the CAN which is sufficient to specify our persuasion DG. The complete version is described in [5]. A CAN is a mathematical structure which we define formally as follows:

**Definition 4:** A CAN is a 7-uple:  $\langle A, E, SC(Ag_1, Ag_2, p), \Omega, \Sigma, \Delta, \alpha \rangle$  where:

- $A$ : a finite set of agents.  $A = \{Ag_1, \dots, Ag_n\}$ .
- $E$ : a finite set of commitments.  $E = \{SC(Ag_1, Ag_2, p), SC(Ag_2, Ag_1, q), \dots\}$ .
- $SC(Ag_1, Ag_2, p)$ : a distinguished element of  $E$ : the initial commitment.
- $\Omega$ : a finite set of creation and positioning actions.  $\Omega = \{Create, Accept, Refuse, Challenge, Withdraw\}$ .
- $\Sigma$ : a finite set of argumentation relations.  
 $\Sigma = \{Defend, Attack, Justify\}$ .
- $\Delta$ : a partial function relating a commitment to another commitment using one argumentation relation.  
 $\Delta: E \times E \rightarrow \Sigma$
- $\alpha$ : a partial function relating an agent to a commitment using a creation and a positioning action.  
 $\alpha: A \times E \rightarrow \Omega$

The function  $\Delta$  allows us to define the argumentation relation that can exist between two commitments, i.e. a defense, an attack or a justification relation. For example:

$\Delta(SC(Ag_1, Ag_2, p), SC(Ag_1, Ag_2, q)) = Defend$ .

This means that the commitment  $SC(Ag_1, Ag_2, p)$  (called *source* of the defense relation) defends the commitment  $SC(Ag_1, Ag_2, q)$  (called *target* of the defense relation).

The function  $\alpha$  allows us to define creation and positioning actions (acceptance, refusal, etc.) performed by an agent on a commitment content. For example:

$\alpha(Ag_1, SC(Ag_2, Ag_1, p)) = Accept$

This reflects the acceptance of the content of  $SC(Ag_1, Ag_2, p)$ .  $Ag_1$  belongs to the debtors set associated with this commitment.

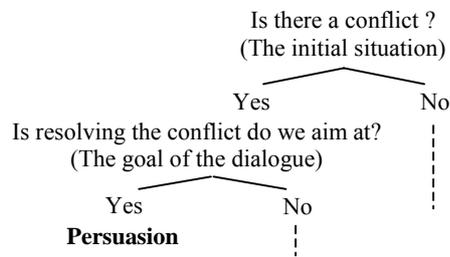
### 4 Specification of a Persuasion Dialogue Game based on the CAN Formalism

In this section, we propose a new protocol for persuasion dialogues modeled as *actions* that agents apply to commitments. In this protocol, the persuasion is captured by the argument agents use to support their actions. The semantics of these actions is defined in a dynamic logic and that of the argumentation relations is defined in an extension of CTL\* [6]. Our purpose is to show that the CAN framework can be successfully used to represent a persuasion dialogue game. At a theoretical level, this

framework can represent all the elements that constitute the persuasion dynamics. This framework offers a language to represent the dynamics more expressive than the simple pre/post conditions traditionally used as a specification of dialogue games. The differences between our protocol and other protocols proposed in the agent literature are discussed in Section 7.

#### 4.1 General Form

According to the classification of Walton and Krabbe [28], each type of dialogue has an initial situation and the goal of the dialogue is to change this situation in a particular way. Fig. 1 illustrates the initial situation as well as the goal of the persuasion dialogue.



**Fig. 1.** Goal and initial situation of the persuasion dialogue

In the same context, Vanderveken [26] proposed a *logic of discourse* in which there are only four possible discursive goals speakers can attempt to achieve by conversing. These goals are: descriptive, deliberative, declaratory and expressive goals. Persuasion dialogue is a sub-type of the dialogue types having a descriptive goal. In his typology, Vanderveken argued that each dialogue type with a discursive goal has a mode of achievement of the discursive goal and preparatory conditions. The mode of achievement imposes a certain sequence of speech acts. For a persuasion dialogue, a certain sequence of defense utterances, questions and answers is needed for the successful implementation of such a dialogue. Preparatory conditions determine a structured set of presuppositions related to the discursive goal. The persuasion dialogue has the preparatory conditions that there is a conflict between the agents' points of view and that each agent has the capacity to defend its point of view.

In addition, in the domain of artificial intelligence and law, many computational and logical models of argument and debate, and of reasoning with conflicting information have been proposed [3, 16, 17]. In [17], Prakken and Sartor introduced a dialectical proof theory for an argumentation framework. A proof of a formula takes the form of a dialogue tree, in which each branch of the tree is a dialogue and the root of the tree is an argument for the formula. The idea is that every move in a dialogue consists of an argument based on the input theory, where each stated argument attacks the last move of the opponent in a way that meets the player's burden of proof.

Our persuasion protocol is defined by specifying its entry conditions, its exit conditions and its dynamics. Entry conditions correspond to the initial situation of the

dialogue and to the preparatory conditions. Exit conditions correspond to the final situation that makes it possible to determine if the dialogue goal is achieved or not. Dynamics results in the different types of actions that can be performed by agents so that each agent can achieve its goal. The dynamics correspond to the mode of achievement of the discursive goal. It also corresponds to the dialectical proof theory where the root is the persuasion subject. Dynamics is reflected by a set of initiative/reactive DGs. An initiative game is captured by creating a new commitment. A reactive game is captured by taking position on an existing commitment (acceptance, refusal, challenge, defense, etc.).

**4.1.1 Entry Conditions.** As illustrated by Fig. 1, the entry condition of the persuasion protocol is a conflict of point of view. This is translated in the CAN formalism by the creation of a commitment  $SC(p)$  by an agent  $Ag_1$  and the refusal of this commitment by an agent  $Ag_2$ . Formally, the initial situation is reflected as follows:

$$\begin{aligned} \alpha(Ag_1, SC(Ag_1, Ag_2, p)) &= Create, \alpha(Ag_2, SC(Ag_1, Ag_2, p)) = Refuse \\ \alpha(Ag_2, SC(Ag_2, Ag_1, \neg p)) &= Create. \end{aligned}$$

**4.1.2 Dynamics.** Generally, the persuasion dialogue takes the form of a sequence of attacks and defenses where each agent tries to defend its point of view or attack the point of view of its partner. This dialogue can also contain questions and answers (dialogue game of information seeking). In the CAN formalism, this results in the creation of a commitment that defends or attacks the initial commitment and other commitments and argumentation relations. The dialogue games of information seeking can be represented by challenge actions and argumentation relations. Formally, the dialogue dynamics can be expressed by a combination of the following functions:

$$\begin{aligned} \alpha(Ag_1, SC(Ag_1, Ag_2, q)) &= Create, \Delta(SC(Ag_1, Ag_2, q), SC(Ag_1, Ag_2, p)) = Defend, \\ \alpha(Ag_2, PC(Ag_2, Ag_1, r)) &= Create, \Delta(SC(Ag_2, Ag_1, r), SC(Ag_1, Ag_2, p)) = Attack, \\ & \text{where } p, q, r \text{ are propositional formulas.} \end{aligned}$$

Information seeking can be, for example, represented by:

$$\begin{aligned} \alpha(Ag_2, SC(Ag_1, Ag_2, q)) &= Challenge \\ \alpha(Ag_1, SC(Ag_1, Ag_2, r)) &= Create \\ \Delta(SC(Ag_1, Ag_2, r), SC(Ag_1, Ag_2, q)) &= Justify. \end{aligned}$$

**4.1.3 Exit Conditions.** The persuasion dialogue terminates either if the conflict is solved, or with a situation in which each agent does not accept the argument of the other. In this case the protocol terminates with an unsolved conflict. The conflict is solved when one of the two agents adopts the point of view of its partner. In the CAN formalism, this results in the acceptance of the initial commitment  $SC(Ag_1, Ag_2, p)$  (respectively  $SC(Ag_2, Ag_1, \neg p)$ ) by  $Ag_2$  (respectively  $Ag_1$ ). This implies the cancellation of all commitments attacked  $SC(Ag_1, Ag_2, p)$  (respectively  $SC(Ag_2, Ag_1, \neg p)$ ). Formally, if  $Ag_2$  accepts  $SC(p)$ , the final situation is described as follows:

$$\begin{aligned} \alpha(Ag_2, SC(Ag_1, Ag_2, p)) &= Accept \Rightarrow \\ [ \forall q: \Delta(SC(Ag_2, Ag_1, q), SC(Ag_1, Ag_2, p)) &= Attack \Rightarrow \end{aligned}$$

$\alpha(Ag_2, SC(Ag_2, Ag_1, q)) := Withdraw]$

When the two agents mutually refuse the argument of the other, the protocol stops because the conflict cannot be solved.

## 4.2 Algorithms of the Persuasion Dialogue Game

The general algorithm representing our persuasion dialogue game is given by Algorithm 1. Part A of Algorithm 1 specifies the entry conditions. Part B indicates the exit conditions. The persuasion dynamics (i.e. the sequence of utterances) is given by the function *Dynamics*. The specification of this function is given by Algorithms 2, 3, 4, 5 and 6. In these algorithms  $S_{Ag_i}$  indicates the set of arguments of agent  $Ag_i$  (i.e. its knowledge base).  $S'_{Ag_i}$  indicates the set of arguments that  $Ag_i$  used in the current dialogue. The set  $S'_{Ag_i}$  allows us to avoid the use of same arguments several times. These algorithms specify the different DGs of our dialogue as *if then* roles. These DGs are: *acceptance, refusal, challenge, justification, attack and defense*.

<pre> { If <math>\alpha(Ag_1, SC(Ag_1, Ag_2, p)) = Create</math>   And <math>\alpha(Ag_2, SC(Ag_1, Ag_2, p)) = Refuse</math>   Then     { Conflict := 0 ;       Dynamics;       If Conflict = 1 Then         « The conflict is solved »       Else « The conflict is not solved »     }   } </pre>	<div style="font-size: 2em;">}</div> Part A          <div style="font-size: 2em;">}</div> Part B
--	--

Algorithm 1

Algorithm 2 deals with the acceptance and the refusal cases. The acceptance of  $SC(Ag_1, Ag_2, p)$  makes it possible to solve the conflict and to stop the algorithm. In the refusal case, if  $Ag_1$  finds an argument  $(r, q)$  not yet used for its commitment  $SC(Ag_1, Ag_2, q)$ , then this agent creates a new commitment  $SC(Ag_1, Ag_2, r)$  to defend  $SC(Ag_1, Ag_2, q)$ .  $Ag_1$  updates the set  $S'_{Ag_1}$  by adding the argument  $(r, q)$ .  $Ag_1$  informs  $Ag_2$  about its action using the *Send* primitive. The *Send* primitive has the form *Send(Destination, Action)*. If  $Ag_1$  does not have arguments to defend its commitment, then the conflict cannot be solved because each agent refuses the arguments of the other and the algorithm stops.

Algorithm 3 deals with the challenge case.  $Ag_1$  justifies its commitment if it finds an argument not yet used. As for the refusal case,  $Ag_1$  updates  $S'_{Ag_1}$  and informs  $Ag_2$  about its action. If  $Ag_1$  does not find such an argument, then it indicates to  $Ag_2$  that the content of the challenged commitment is a knowledge that  $Ag_1$  believes true by justifying it by itself. The formal definition of the *justification* relation is the same as the *defense* relation.

```

If  $\alpha(Ag_2, SC(Ag_1, Ag_2, p)) = \text{Accept}$  Then {
  Conflict := 1; Return Conflict; }
If  $\alpha(Ag_2, SC(Ag_1, Ag_2, q)) = \text{Refuse}$  Then {
  If  $(r, q) \in S_{Ag_1} / S'_{Ag_1}$  Then {
     $\alpha(Ag_1, SC(Ag_1, Ag_2, r)) := \text{Create}$ ;
     $\Delta(SC(Ag_1, Ag_2, r), SC(Ag_1, Ag_2, q)) := \text{Defend}$ ;
     $S'_{Ag_1} := S'_{Ag_1} \cup \{(r, q)\}$ ;
    Send( $Ag_2, \Delta(SC(Ag_1, Ag_2, r), SC(Ag_1, Ag_2, q))$ ); }
  Else { Conflict := -1; Return Conflict; }}

```

Algorithm 2

```

If  $\alpha(Ag_2, PC(Ag_1, Ag_2, q)) = \text{Challenge}$  Then {
  If  $(r, q) \in S_{Ag_1} / S'_{Ag_1}$  Then {
     $\alpha(Ag_1, SC(Ag_1, Ag_2, r)) := \text{Create}$ ;
     $\Delta(SC(Ag_1, Ag_2, r), SC(Ag_1, Ag_2, q)) := \text{Justify}$ ;
     $S'_{Ag_1} = S'_{Ag_1} \cup \{(r, q)\}$ ;
    Send( $Ag_2, \Delta(SC(Ag_1, Ag_2, r), SC(Ag_1, Ag_2, q))$ ); }
  Else {  $\Delta(SC(Ag_1, Ag_2, q), SC(Ag_1, Ag_2, q)) := \text{Justify}$ ;
    Send( $Ag_2, \Delta(SC(Ag_1, Ag_2, q), SC(Ag_1, Ag_2, q))$ ); }}

```

Algorithm 3

Algorithm 4 deals with the case of  $Ag_1$  reaction if  $Ag_2$  justifies the content of its commitment by itself.  $Trustworthy(Ag_2)$  is a Boolean function that enables  $Ag_1$  to determine if  $Ag_2$  is trustworthy or not. If according to  $Ag_1$ ,  $Ag_2$  is trustworthy, then  $Ag_1$  accepts  $Ag_2$ 's commitment. If not,  $Ag_1$  refuses  $Ag_2$ 's commitment. In the following section we propose a probabilistic model of trustworthiness to determine the value of  $Trustworthy(Ag_2)$  function.

```

If  $\Delta(SC(Ag_2, Ag_1, q), SC(Ag_2, Ag_1, q)) = \text{Justify}$  Then {
  If  $Trustworthy(Ag_2)$ 
    Then  $\alpha(Ag_1, SC(Ag_2, Ag_1, q)) := \text{Accept}$ 
    Else  $\alpha(Ag_1, SC(Ag_2, Ag_1, q)) := \text{Refuse}$ 
  Send( $Ag_2, \alpha(Ag_1, SC(Ag_2, Ag_1, q))$ );
}

```

Algorithm 4

Algorithm 5 deals with the case where  $Ag_2$  attacks the support of  $Ag_1$ 's argument.  $Ag_1$  attacks  $Ag_2$ 's argument if it has an against-argument or it defends its argument if it has an argument or it accepts  $Ag_2$ 's argument if it has an argument. If  $Ag_1$  has no arguments nor against-arguments, then it challenges  $Ag_2$ 's argument.

```

If  $\Delta(SC(Ag_2, Ag_1, q), SC(Ag_1, Ag_2, r)) = Attack$  Then {
  If  $(s, \neg q) \in S_{Ag_1} / S'_{Ag_1}$  Then {
     $\alpha(Ag_1, SC(Ag_1, Ag_2, s)) := Create;$ 
     $\Delta(SC(Ag_1, Ag_2, s), SC(Ag_2, Ag_1, q)) := Attack;$ 
     $S'_{Ag_1} := S'_{Ag_1} \cup \{(s, \neg q)\};$ 
     $Send(Ag_2, \Delta(SC(Ag_1, Ag_2, s), SC(Ag_2, Ag_1, q)));$  }
  Else If  $(s, r) \in S_{Ag_1} / S'_{Ag_1}$  Then {
     $\alpha(Ag_1, SC(Ag_1, Ag_2, s)) := Create;$ 
     $\Delta(SC(Ag_1, Ag_2, s), SC(Ag_1, Ag_2, r)) := Defend;$ 
     $S'_{Ag_1} = S'_{Ag_1} \cup \{(s, r)\};$ 
     $Send(Ag_2, \Delta(SC(Ag_1, Ag_2, s), SC(Ag_1, Ag_2, r)));$  }
  Else {
    If  $(s, q) \in S_{Ag_1} / S'_{Ag_1}$  Then
       $\alpha(Ag_1, SC(Ag_2, Ag_1, q)) := Accept;$ 
    Else  $\alpha(Ag_1, SC(Ag_2, Ag_1, q)) := Challenge;$ 
     $Send(Ag_2, \alpha(Ag_1, SC(Ag_2, Ag_1, q)));$  } }

```

Algorithm 5

Algorithm 6 deals with the case in which the reactive game of  $Ag_2$  is a defense of its argument. Thus,  $Ag_1$  can attack the support of the  $Ag_2$ 's argument or its conclusion according to  $Ag_1$ 's arguments. As in Algorithm 5,  $Ag_1$  accepts or challenges the support of  $Ag_2$ 's argument in the opposite case.

## 5 Trustworthiness Model

Several models of trustworthiness have been developed in the context of MAS [19, 21, 30]. However, their formulations do not take into account the elements we use in our approach. For this reason, we propose a model that is more appropriate for our protocol. This model has the advantage of being simple and rigorous.

In our model, an agent's trustworthiness is a probability function defined as follows:  $TRUST: A \times A \times D \rightarrow [0, 1]$ . This function associates to each agent a probability measure representing its trustworthiness in the domain  $D$  according to another agent. Let  $X$  be a random variable representing an agent's trustworthiness. To evaluate the trustworthiness of an agent  $Ag_b$ , an agent  $Ag_a$  uses the records of its interactions with  $Ag_b$ . Formula 1 indicates how to calculate this trustworthiness as a probability measure (number of successful outcomes / total number of possible outcomes).

$$TRUST(Ag_b)_{Ag_a} = \frac{Nb\_arg(Ag_b)_{Ag_a} + Nb\_SC(Ag_b)_{Ag_a}}{T\_Nb\_arg(Ag_b)_{Ag_a} + T\_Nb\_SC(Ag_b)_{Ag_a}} \quad (1)$$

```

If  $\Delta(PC(Ag_2, Ag_1, q), PC(Ag_2, Ag_1, r)) = Defend$  Then {
  If  $(s, \neg q) \in S_{Ag_1} / S'_{Ag_1}$  Then {
     $\alpha(Ag_1, SC(Ag_1, Ag_2, s)) := Create;$ 
     $\Delta(SC(Ag_1, Ag_2, s), SC(Ag_2, Ag_1, q)) := Attack;$ 
     $S'_{Ag_1} := S'_{Ag_1} \cup \{(s, \neg q)\};$ 
     $Send(Ag_2, \Delta(SC(Ag_1, Ag_2, s), SC(Ag_2, Ag_1, q)));$ 
  }
  Else If  $(s, \neg r) \in S_{Ag_1} / S'_{Ag_1}$  Then {
     $\alpha(Ag_1, SC(Ag_1, Ag_2, s)) := Create;$ 
     $\Delta(SC(Ag_1, Ag_2, s), SC(Ag_2, Ag_1, r)) := Attack;$ 
     $S'_{Ag_1} := S'_{Ag_1} \cup \{(s, \neg r)\};$ 
     $Send(Ag_2, \Delta(SC(Ag_1, Ag_2, s), SC(Ag_2, Ag_1, r)));$ 
  }
  Else {
    If  $(s, q) \in S_{Ag_1} / S'_{Ag_1}$  Then
       $\alpha(Ag_1, SC(Ag_2, Ag_1, q)) := Accept;$ 
    Else  $\alpha(Ag_1, SC(Ag_2, Ag_1, q)) := Challenge;$ 
     $Send(Ag_2, \alpha(Ag_1, SC(Ag_2, Ag_1, q)));$ 
  }
}

```

Algorithm 6

$Nb\_arg(Ag_b)_{Ag_a}$  is the number of  $Ag_b$ 's arguments that are accepted by  $Ag_a$ .

$Nb\_SC(Ag_b)_{Ag_a}$  is the number of satisfied commitments whose  $Ag_b$  is the debtor and  $Ag_a$  is the creditor.

$T\_Nb\_arg(Ag_b)_{Ag_a}$  is the total number of  $Ag_b$ 's arguments towards  $Ag_a$ .

$T\_Nb\_SC(Ag_b)_{Ag_a}$  is the total number of commitments whose  $Ag_b$  is the debtor and  $Ag_a$  is the creditor.

All these commitments and arguments are related to the domain  $D$ . The basic idea is that the trust degree of an agent can be induced according to how much information acquired from it has been accepted as belief in the past. Because all the factors of equation 1 are related to the past, this information number is finite.

$TRUST(Ag_b)_{Ag_a}$  is the trustworthiness of  $Ag_b$  according to  $Ag_a$ 's point of view. This trustworthiness is a dynamic value that changes according to the interactions taking place between  $Ag_a$  and  $Ag_b$ . This supposes that  $Ag_a$  knows  $Ag_b$ . If not, or if the number of interactions is not sufficient to determine this trustworthiness, the consultation of other agents becomes necessary.

As proposed in [1, 30], each agent has two kinds of beliefs when evaluating the trustworthiness of another agent: local beliefs and total beliefs. Local beliefs are based on the direct interactions between agents. Total beliefs are based on the combination of the different testimonies of other agents called *witnesses*. In our model, local beliefs are given by Formula 1. Total beliefs require studying how different probability measures offered by witnesses can be combined. We deal with this aspect in the following section.

## 5.1 Estimating Agent's Trustworthiness

Let us suppose that an agent  $Ag_a$  wants to evaluate the trustworthiness of an agent  $Ag_b$  with which it never (or not enough) interacted before. This agent must consult agents that it knows to be trustworthy (*confidence agents*). A trustworthiness threshold  $w$  must be fixed. Thus,  $Ag_b$  will be considered trustworthy for  $Ag_a$  iff  $TRUST(Ag_b)_{Ag_a}$  is higher or equal to  $w$ .  $Ag_a$  attributes a trustworthiness measure to each confidence agent  $Ag_i$ . When it is consulted by  $Ag_a$ , each confidence agent  $Ag_i$  provides a trustworthiness value for  $Ag_b$  if  $Ag_i$  knows  $Ag_b$ . Confidence agents use their local beliefs to calculate this value (Formula 1). Thus, the problem consists in evaluating  $Ag_b$ 's trustworthiness using the trustworthiness values transmitted by confidence agents.

We notice that this problem cannot be formulated as a problem of conditional probability. Consequently, it is not possible to use *Bayes' theorem* or *total probability theorem*. The reason is that events in our problem are not mutually exclusive, whereas this condition is necessary for these two theorems. Probability values offered by confidence agents are not mutually exclusive since they are provided simultaneously.

To solve this problem we must study the distribution of the random variable  $X$ . Since  $X$  takes only two values: 0 (the agent is not trustworthy) or 1 (the agent is trustworthy), variable  $X$  follows a Bernoulli distribution  $\beta(1, p)$ . According to this distribution, we have:

$$E(X) = p . \quad (2)$$

where  $E(X)$  is the expectation of the random variable  $X$  and  $p$  is the probability that the agent is trustworthy. Thus,  $p$  is the probability that we seek. Therefore, *it is enough to calculate the expectation  $E(X)$  to find  $TRUST(Ag_b)_{Ag_a}$* . However, this expectation is a theoretical mean that we must estimate. To this end, we can use the *Central Limit Theorem (CLT)* and the *law of large numbers*. The CLT states that whenever a random sample of size  $n$  ( $X_1, \dots, X_n$ ) is taken from any distribution with mean  $\mu$ , then the sample mean  $(X_1 + \dots + X_n) / n$  will be approximately normally distributed with mean  $\mu$ . As an application of this theorem, the arithmetic mean (average)  $(X_1 + \dots + X_n) / n$  approaches a normal distribution of mean  $\mu$ , the expectation. Generally, and according to the law of large numbers, the expectation can be estimated by the weighted arithmetic mean.

Our random variable  $X$  is the weighted average of  $n$  independent random variables  $X_i$  that correspond to  $Ag_b$ 's trustworthiness according to the point of view of confidence agents  $Ag_i$ . These random variables follow the same distribution: the Bernoulli distribution. They are also independent because the probability that  $Ag_b$  is trustworthy according to an agent  $Ag_i$  is independent of the probability that this agent ( $Ag_b$ ) is trustworthy according to another agent  $Ag_r$ . Consequently, the random variable  $X$  follows a normal distribution whose average is the weighted average of the expectations of the independent random variables  $X_i$ . The estimation of expectation  $E(X)$  is given by Formula 3.

$$M = \frac{\sum_{i=1}^n TRUST(Ag_i)_{Ag_a} N(Ag_i)_{Ag_b} TRUST(Ag_b)_{Ag_i}}{\sum_{i=1}^n TRUST(Ag_i)_{Ag_a} N(Ag_i)_{Ag_b}} . \quad (3)$$

The value  $M$  represents an estimation of  $TRUST(Ag_b)_{Ag_a}$  where  $N(Ag_i)_{Ag_b}$  indicates the number of interactions between a confidence agent  $Ag_i$  and  $Ag_b$ . This number can be identified by the total number of  $Ag_b$ 's commitments and arguments. This formula shows how trust can be obtained by merging the trustworthiness values transmitted by some mediators. This merging method takes into account the proportional relevance of each trustworthiness value, rather than treating them equally. This formula gives us a good estimation of  $TRUST(Ag_b)_{Ag_a}$  that takes into account the three most important factors: (1) the trustworthiness of confidence agents according to the point of view of  $Ag_a$  (2) the  $Ag_b$ 's trustworthiness according to the point of view of confidence agents (3) the number of interactions between confidence agents and  $Ag_b$ . This number is an important factor because it makes it possible to favor information coming from agents knowing more  $Ag_b$ . The function  $Trustworthy(Ag_i)$  can be specified as follows:

*If  $M > w$  Then Return true Else return false.*

According to (3), we have :

$$\forall i, TRUST(Ag_b)_{Ag_i} < w \Rightarrow M < w, \frac{\sum_{i=1}^n TRUST(Ag_i)_{Ag_a} N(Ag_i)_{Ag_b}}{\sum_{i=1}^n TRUST(Ag_i)_{Ag_a} N(Ag_i)_{Ag_b}} \Rightarrow M < w$$

Consequently, the well-known *lottery paradox* of Kyburg can never happen. If all trustworthiness values transmitted by the mediators are below the threshold  $w$ , then  $Ag_a$  will not trust  $Ag_b$ .

To calculate  $M$ , we need the trustworthiness of other agents. A practical solution consists in building a *trust graph* like the *TrustNet* proposed by [30].

## 6 Implementation

The algorithms and the trustworthiness model presented in this paper are implemented using *Jack<sup>TM</sup>* technology. *Jack<sup>TM</sup>* is an agent-oriented language offering a framework for MAS development. It is built on top of and fully integrated with Java programming language. The implemented prototype enabled us to verify the correctness of our algorithms and that the persuasion dynamics terminates because it converges to an acceptance or a refusal of the conversation subject. An agent accepts the conversation subject presented by  $SC(p)$  or  $SC(\neg p)$  if it accepts the last argument presented by its interlocutor using its argumentation system or because this interlocutor is trustworthy.

Agents' knowledge are implemented using *Jack<sup>TM</sup>* data structures called *beliefsets*. The argumentation systems are implemented as Java modules using a logical programming paradigm. These modules use agents' beliefsets to build arguments for or against certain propositional formulas. The actions that agents perform on commitments or on their contents (presented by the functions  $\alpha$  and  $\Delta$ ) are programmed as *events*. When an agent receives such an event, it seeks a *plan* to handle it. These plans are the algorithms presented in the paper.

The trustworthiness model is implemented using the same principle (events + plans). The requests sent by an agent about the trustworthiness of another agent are events and the calculations are programmed in plans. The trust graph is implemented

as a Java data structure (oriented graph). Evaluating the trustworthiness of an agent takes  $O(\sum_{i=1}^m |a_i| \times |n_i|)$  time to run, where:  $\sum_{i=1}^m |a_i| = |a|$  and  $\sum_{i=1}^m |n_i| = |n|$ .  $|a|$  is the number of edges and  $|n|$  the number of nodes. Fig. 2 illustrates an example generated by our prototype of the process allowing an agent  $Ag_1$  to measure the trustworthiness of another agent  $Ag_7$  in a given domain.

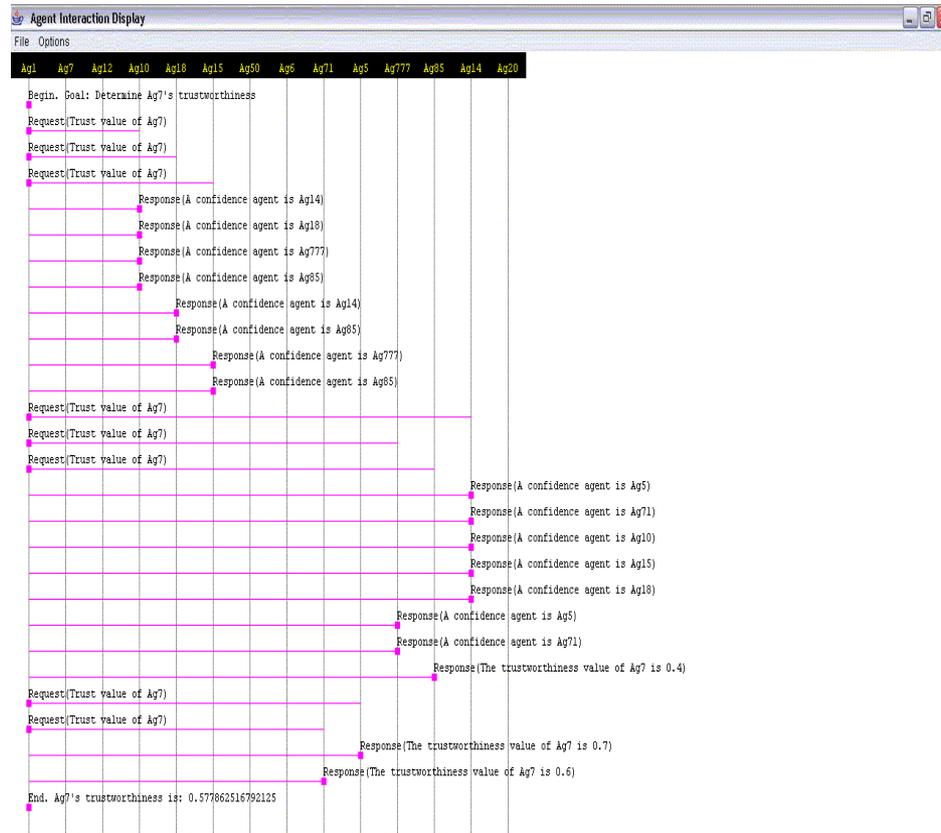


Fig. 2. Example of process of trustworthiness measure

Fig. 3 illustrates an abstract example of the persuasion dynamics. In this figure an argument is denoted ( $[Support], Conclusion$ ).

## 7 Related Work

Smith et al [25] developed protocols having the advantage of being based on a logical theory (the theory of joint intention) that suggests how protocols can be linked together to form more complex interactions. However, these protocols do not take

into account how different strategies can be chosen. Because our protocol uses DGs, it is possible to combine it with other protocols (information seeking, negotiation, ...). Semantically, the protocols proposed by Smith et al. are based on private attitudes whereas we use a public and argumentative semantics.

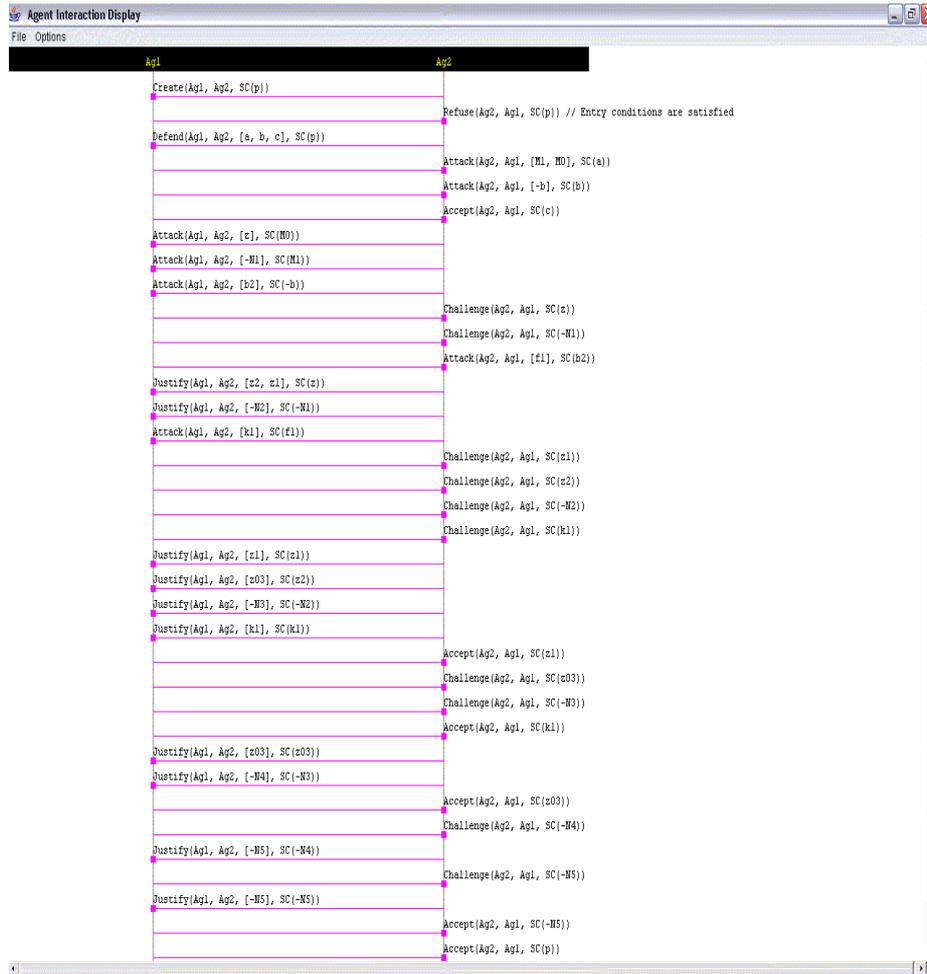


Fig. 3. Example of persuasion dynamics

Yolum and Singh [29] developed an approach for specifying protocols in which actions' content is captured through agents' commitments [24]. They provide operations and reasoning rules to capture the evolution of commitments. Using these rules, agents can reason about their actions. In a similar way, Fornara and Colombetti [11] proposed a method to define interaction protocols. This method is based on the specification of an interaction diagram (ID) specifying which actions can be performed under given conditions. The advantage of these approaches is to be verifiable because they are based on public notions. They also allow us to represent

the interaction dynamics through the allowed operations. Our protocol is comparable to these protocols because it is also based on commitments. However, it is different in the following respects. The choice of the various operations is explicitly dealt with in our protocol by using argumentation and trustworthiness. The CAN formalism used to represent the protocol enables us to distinguish the various operations applied to commitments and to their contents as well as the argumentation relations. In addition, our protocol uses a specification based on philosophical foundations that allow us to specify the interaction dynamics.

To tackle the problem of the lack of flexibility in protocols, Reed [20], Dastani et al. [8], Maudet and Chaib-draa [12], and Dignum et al. [9] proposed protocols based on DGs. These protocols can be composed of various operations: sequencing, chaining, etc. Our protocol belongs to this family of protocols. However, our approach based on commitments and arguments makes our protocol different in terms of the allowed actions and in terms of the specification that our protocol has. In addition, our protocol clearly indicates how agents can choose a strategy using argumentative and social notions.

Parsons et al. [15], Amgoud et al. [2], McBurney [14], Sadri et al. [22] proposed protocols based on an argumentative approach. These protocols are based on Walton and Krabbe's classification and on formal dialectics. In these protocols, agents can argue about the truth of propositions. Agents can communicate both propositional statements and arguments about these statements. These protocols have the advantage of taking into account the capacity of agents to reason as well as their attitudes (confident, careful,...). Semantically, these protocols are specified by defining pre/post conditions for each locution. The difference between these protocols and ours is that our protocol deals with the social aspects of the interaction in its specification by integrating the notion of trustworthiness. In addition, we use a hybrid approach based on commitments and arguments. Our protocol is specified not by pre/post conditions, but by algorithms specifying the entry conditions, the exit conditions and the dynamics. Particularly, there are other differences between our protocol and that of Parsons et al.: 1. From the theoretical point of view, Parsons et al.'s protocol uses moves from formal dialectics, whereas our protocol uses actions that agents apply on commitments. These actions capture the speech acts that agents perform when conversing (see Definition 1). The advantage of using these actions is that they enable us to better represent the persuasion dynamics considering that their semantics can be defined in an unambiguous way in a dynamic logic. 2. Parsons et al.'s protocol uses only three moves: assertion, acceptance and challenge, whereas our protocol uses, over and above creation, acceptance, refusal and challenge actions, attack and defense actions in an explicit way. These argumentation relations allow us to directly illustrate the concept of dispute in this type of protocols. 3. Parsons et al. use an acceptance criterion directly related to the argumentation system, whereas we use an acceptance criteria for the agents (supports of arguments and trustworthiness). This makes it possible to decrease the computational complexity of the protocol for agent communication.

## 8 Conclusion and Future Work

In this paper we proposed a new persuasion protocol based on DGs. This protocol is presented within a social and argumentative approach. Using our CAN formalism, this protocol is specified by indicating its entry conditions, exit conditions and dynamics. This protocol is characterized by the fact that it integrates trustworthiness as a component of the decision-making process. We described the implementation of this protocol using an agent platform.

As future work, we intend to specify other protocols according to Walton and Krabbe's classification and Vanderveken's typology. Another objective of this research is to verify some formal properties of these protocols (termination, soundness, ...) using model-checking techniques. The idea we are investigating is to use a tableau method and an automata theoretic approach to branching time model checking. Thus, to prove that our protocol  $M$  verifies some properties  $\psi$ , we have to verify that  $M \models \psi$  which is a model-checking problem.

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